

JC14 Rec'd PCT/PTO 01 MAR 2002

Receipt
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CFR
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7/8/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

P07446US00

In re application of

CHARTERS ET AL

Art Unit: 1170

Serial No.: 10/018,054

Filed: March 27, 2002

For: METHOD OF FORMING AN OPTICAL WAVEGUIDE DEVICE

LETTER - REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents

Office of Initial Patent Examination

Customer Service Center

Washington, D.C. 20231

SIR:

RECEIVED
MAY 15 2002
TECHNOLOGY CENTER 2800

Correction of the Filing Receipt is requested.

Submitted herewith is a copy of the filing receipt for the above-identified application showing corrections in red. The corrections are readily apparent from the application papers.

It is respectfully requested that the records of the Patent Office be corrected and that a Corrected Filing Receipt be sent to the undersigned.

Respectfully submitted,
LARSON & TAYLOR, PLC

Ross F. Hunt, Jr.
Registration No.24082

Transpotomac Plaza
1199 North Fairfax Street
Suite 900
Alexandria, Virginia 22314
(703) 739 - 4900
Date: May 1, 2002

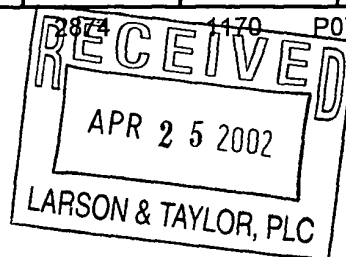


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/018,054	03/27/2002	3874	1170	P07446US00/RFH	6	7	1

00881
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ALEXANDRIA, VA 22314



CONFIRMATION NO. 5453

REPLACEMENT FILING RECEIPT



OC000000007911733

Date Mailed: 04/18/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Robert Bruce Charters, Giralang, AUSTRALIA;
Barry Luther-Davies, Kambah, AUSTRALIA;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/AU00/00698 06/21/2000

Foreign Applications

AUSTRALIA PQ 1110 06/21/1999

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

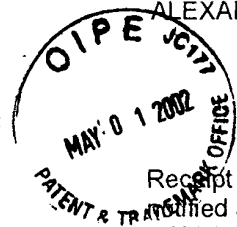
Title

Method of forming an optical waveguide device

Preliminary Class

385

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P07446US00/RFH

Serial No.: 10/018,054 Inventor: CHARTERS ET AL

File Date : March 27, 2002 Title: METHOD OF FORMING AN OPTICAL WAVEGUIDE DEVICE

PAPERS SUBMITTED

<input type="checkbox"/> Amendment or Response	<input type="checkbox"/> IDS
<input type="checkbox"/> Amendment or Response After Final	<input type="checkbox"/> IDS Transmittal
<input type="checkbox"/> Notice of Appeal	<input type="checkbox"/> PTO-1449
<input type="checkbox"/> Appeal Brief	<input type="checkbox"/> Search Report
<input type="checkbox"/> Petition for Extension of Time	<input type="checkbox"/> Refs. Transmitted
<input type="checkbox"/> Form (Missing Parts)	<input type="checkbox"/> Letter to Draftsman
<input type="checkbox"/> Declaration	<input type="checkbox"/> Drawings
<input type="checkbox"/> Fee:	<input type="checkbox"/> Priority Document
<input type="checkbox"/> Issue Fee \$ _____	<input type="checkbox"/> Translation of Application
	<input type="checkbox"/> PCT Demand Chapter II
	<input type="checkbox"/> PCT Fee Calculation
	<input checked="" type="checkbox"/> Other: Corrected Filing Receipt



8

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FACSIMILE COVER SHEET

DATE: April 30, 2003
TO: Cathy Short
FROM: Donna Lewis for Ross F. Hunt, Jr.
FAX NO: 703.305.3230
TOTAL PAGES: 4 (THIS PAGE INCLUDED)
OPERATOR: dj1
COMMENTS: RE: USSN 10/018,054

Attached is a copy of a Request for Corrected Filing Receipt (with PTO date-stamped postcard) filed on May 1, 2002. To date, we have not received a filing receipt with the correct data.

Accordingly, please issue a corrected Filing Receipt bearing the correct information. Thank you.

NOTE: PLEASE NOTIFY THE OPERATOR INDICATED ABOVE IF PAGES ARE MISSING.

WARNING: Unauthorized interception of this telephonic communication could be a violation of law. The documents accompanying this telecopy transmission contain confidential information belonging to the sender which is legally privileged. The information is intended only for the use of the individual or entity named above. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or the taking of any action in reliance on the contents of this telecopied information is strictly prohibited. If you have received this telecopy in error, please immediately notify us by telephone (703-739-4900) to arrange for return of the original documents to us.

Correction mailed 7/7/03 PCT

*** RX REPORT ***

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UNITED STATES PATENT AND TRADEMARK OFFICE

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 Washington, D.C. 20231
 www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/018,054	FIRST NAMED APPLICANT Robert B. Charters	ATTY. DOCKET NO. P07446US00/RFH
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INTERNATIONAL APPLICATION NO. PCT/AU00/00698

I.A. FILING DATE 06/21/2000	PRIORITY DATE 06/21/1999
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00881
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 ALEXANDRIA, VA 22314

CONFIRMATION NO. 5453

371 FORMALITIES LETTER



OC000000007433745

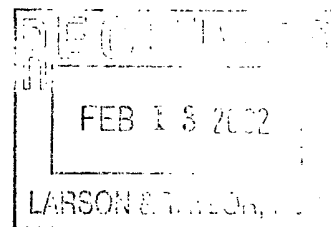
Date Mailed: 02/07/2002

4-7-2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Request for Immediate Examination
- Small Entity Statement



The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than the appropriate 30 months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

WINSTON M ALVARADO

Telephone: (703) 305-6421

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/018,054	PCT/AU00/00698	P07446US00/RFH

FORM PCT/DO/EO/905 (371 Formalities Notice)